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To: Office of Initial Patent Examination  
Filing Receipt Corrections

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From: Otis Littlefield

Date: February 3, 2004

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**Comments: PLEASE CORRECT THE ATTACHED FILING RECEIPT.**

Re: U.S. Patent Application Serial No. 09/552,252  
For: COMPOSITIONS AND METHODS FOR PLANT  
TRANSFORMATION AND REGENERATION  
Inventor(s): Peggy G. LEMAUX et al.  
Our Ref: 41627-20022.20

Attached are the following:

1. Transmittal (1 page)
2. Request for a Corrected Filing Receipt (2 pages)
3. Marked-Up Filing Receipt (1 page)

Please type a plus sign (+) inside this box →



PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/552,252

Filing Date April 18, 2000

First Named Inventor Peggy G. LEMAUX

Examiner Name G. Helmer

Group Art Unit 1638

Total Number Of Pages In This Submission

4

Attorney Docket No.

416272002220

## ENCLOSURES (check all that apply)

☐ Fee Transmittal☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declarations☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Assignment Papers☐ Drawings☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
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Remarks

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of this submission)

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

or

Individual Name

Morrison &amp; Foerster, 425 Market Street, San Francisco, CA 94105 (Customer No. 20872)

Otis Littlefield, Reg. No. 48,751

Signature

Date

February 3, 2004

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 746-9195, on the date shown below.

Dated: February 3, 2004

Signature:

(Valerie Cohen)

PATENT  
Docket No. 416272002220

## CERTIFICATE OF FACSIMILE TRANSMISSION

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Valerie Cohen

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peggy G. LEMAUX et al.

Serial No.: 09/552,252

Filing Date: April 18, 2000

For: COMPOSITIONS AND METHODS FOR  
PLANT TRANSFORMATION AND  
REGENERATION

Examiner: G. Helmer

Group Art Unit: 1638

## REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Please furnish the undersigned with a Corrected Filing Receipt for the above-identified application. The correction needed is as follows. Under "Applicant(s)," please change the order of the inventors from Peggy G. Lemaux, Moraga, CA; Myeong-Je Cho, Alameda, CA; to

-- Myeong-Je Cho, Alameda, CA; Peggy G. Lemaux, Moraga, CA. --

A copy of the marked-up Filing Receipt is attached in support of this request.

sf-1637914

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit account no. 03-1952 referencing 416272002220. However, the commissioner is not authorized to charge the cost of the issue fee to the deposit account.

Dated: February 3, 2004

Respectfully submitted,

By: 

Otis Littlefield  
Registration No. 48,751

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/552,252	04/18/2000	1643	1198	5830-3	1	41	3

000826  
ALSTON & BIRD LLP  
P O DRAWER 34009  
CHARLOTTE, NC 28234-4009

## FILING RECEIPT



\*OC000000005365878\*

Date Mailed: 08/30/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~Peggy G. Lemaux, Moraga, CA~~  
~~Myeong-Je Cho, Alameda, CA~~Myeong-Je Cho, Alameda, CA;  
Peggy G. Lemaux, Moraga, CA;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 08/845,939 04/29/1997

## Foreign Applications

If Required, Foreign Filing License Granted 08/22/2000

## Title

Compositions and methods for plant transformation and regeneration

## Preliminary Class

435

Data entry by : NIMMONS, EVELYN

Team : OIPE

Date: 08/30/2000

[REDACTED]

